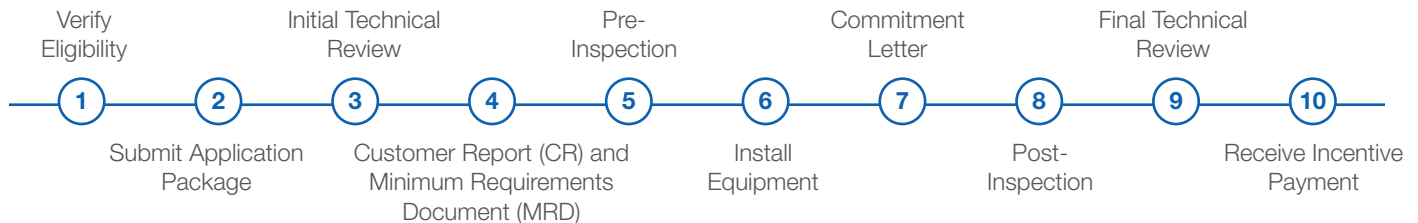


# NYS Clean Heat Program Application

Get incentives for installing energy-efficient electric heat pump systems for space- and water-heating applications.

## HOW TO APPLY



### 1. Verify Eligibility

All proposed equipment must meet or exceed program requirements described in the NYS Clean Heat Program Manual.

### 2. Submit Application Package

Please email your application package with the following documents to [NYSCleanHeatCI@nationalgrid.com](mailto:NYSCleanHeatCI@nationalgrid.com)

- Completed program application
- W-9 of the Customer/Account Holder and Incentive Recipient if applicable
- Customer proposal/statement of work
- Cost estimate for proposed work
- Energy savings analysis, including building and equipment heating load calculations.
- Equipment cutsheets
- NYS Clean Heat Custom Bin Calculator Tool

### 3. Initial Technical Review

National Grid will review the application's technical documentation to determine preliminary savings and incentives for the project.

### 4. Customer Report (CR) and Minimum Requirements Document (MRD)

After the initial technical review has been completed, National Grid will issue a CR and MRD for signature.

### 5. Pre-Inspection

National Grid will pre-inspect the existing condition of the project site.

### 6. Install Equipment

Install the project and submit the following completion paperwork as soon as your project is installed and operational:

- Final project invoices

### 7. Commitment Letter

After the pre-inspection has been completed, National Grid will issue a Commitment Letter reflecting the project savings, incentive and requirements signed-off on in the CR and MRD.

### 8. Post-Inspection

National Grid or a representative will inspect the new condition of the project site to confirm all work was installed in accordance with the scope of work provided with the initial project application and collect final signatures on the MRD.

### 9. Final Technical Review

National Grid will review the final project invoices and findings from the post-inspection, revising energy savings calculations as necessary to reflect as-built conditions and as-installed costs, and determine the final project savings and incentive.

### 10. Receive Incentive Payment

Once your energy savings and incentives are finalized by the program team, an incentive check will be mailed to you or your Participating Contractor. Only designated Participating Contractors in good standing may receive incentive payments.

# NYS Clean Heat Program Application

## Program Eligibility

To be eligible to participate in National Grid Custom NYS Clean Heat Program, all of the following statements must apply:

1. The project must be installed by a NYS Clean Heat Participating Contractor.
2. The site must be occupied year-round or, in the case of planned installations at new construction sites, site owners must plan to have the site occupied year-round.
3. The customer listed on the application has an active electric account.

If you have any questions regarding your eligibility for this program, email: [NYSCleanHeatCI@nationalgrid.com](mailto:NYSCleanHeatCI@nationalgrid.com)

### Account Holder Information

|  |               |                          |      |
|--|---------------|--------------------------|------|
| Account Name (as shown on your National Grid bill):  |               | Electric Account Number: |      |
| Contact Name:  | Title:        | Email:                   |      |
| Mailing Address:   | City:         | State:                   | Zip: |
| Office Phone:  | Mobile Phone: |                          |      |
| How did you hear about the program? <input type="checkbox"/> Participating Contractor <input type="checkbox"/> Event/Seminar <input type="checkbox"/> Email<br><input type="checkbox"/> Online Search <input type="checkbox"/> Sales Rep <input type="checkbox"/> Other: |               |                          |      |
| <input type="checkbox"/> If the incentive check should be mailed to the account holder at a different address than shown above, please list address below.   |               |                          |      |
| Contact Name:  |               |                          |      |
| Mailing Address:   | City:         | State:                   | Zip: |

### Site Information

|                  |       |        |      |
|------------------|-------|--------|------|
| Service Address: | City: | State: | Zip: |
|------------------|-------|--------|------|

### Participating Contractor Information

|                  |               |                 |      |
|------------------|---------------|-----------------|------|
| Company Name:    |               |                 |      |
| Contact Name:    | Title:        | Email:          |      |
| Mailing Address: | City:         | State:          | Zip: |
| Office Phone:    | Mobile Phone: | Federal Tax ID: |      |

### Payee Authorization

The account holder hereby authorizes the incentive check to be paid directly to the Party referenced in the payee section below. If this box is checked, the account holder must sign here and the signature must match the signature at the end of this application. The entity being paid must provide their W-9. National Grid account holder must sign this section of the application.

|                                |                       |                           |       |
|--------------------------------|-----------------------|---------------------------|-------|
| Incentive Recipient Name:      | Title (please print): | Company Name:             |       |
| Payee Federal Tax ID:          |                       | PC Payee Address:         |       |
| Account Holder (please print): | Title (please print): | Account Holder Signature: | Date: |

# NYS Clean Heat Program Application

## Proposed Project Information

Building Type (Check one):

|  |  |   |   |                                       |
|--|--|---|---|---------------------------------------|
| <input type="checkbox"/> Assembly          | <input type="checkbox"/> Food & Beverage         | <input type="checkbox"/> Large Office                     | <input type="checkbox"/> Pharmaceutical                     | <input type="checkbox"/> Small Office |
| <input type="checkbox"/> Auto Repair       | <input type="checkbox"/> Full Service Restaurant | <input type="checkbox"/> Large Retail                     | <input type="checkbox"/> Primary Metals                     | <input type="checkbox"/> Small Retail |
| <input type="checkbox"/> Big Box           | <input type="checkbox"/> Grocery                 | <input type="checkbox"/> Light Industrial                 | <input type="checkbox"/> Refrigerated Warehouse             | <input type="checkbox"/> University   |
| <input type="checkbox"/> Community College | <input type="checkbox"/> Heavy Industrial        | <input type="checkbox"/> Motel                            | <input type="checkbox"/> Religious                          | <input type="checkbox"/> Warehouse    |
| <input type="checkbox"/> College Dormitory | <input type="checkbox"/> High School             | <input type="checkbox"/> Multifamily High-rise (5+ units) | <input type="checkbox"/> Single Family Residence (1-4 unit) | <input type="checkbox"/> Other_____   |
| <input type="checkbox"/> Elementary School | <input type="checkbox"/> Hospital                | <input type="checkbox"/> Multifamily Low-rise (5+ units)  |   |                                       |
| <input type="checkbox"/> Fast Food         | <input type="checkbox"/> Hotel                   |   |   |                                       |

Existing Building     New Construction

## Proposed Technology (Check all that apply):

|  |  |
|--|--|
| <input type="checkbox"/> Air Source Heat Pump (ASHP)             | <input type="checkbox"/> Heat Pump Water Heater (HPWH)   |
| <input type="checkbox"/> Full Building Heating Load              | <input type="checkbox"/> < 120 Gallons                   |
| <input type="checkbox"/> Partial Building Heating Load           | <input type="checkbox"/> > 120 Gallons                   |
| <input type="checkbox"/> Water Source Heat Pump                  | <input type="checkbox"/> Ground Source Heat Pump (GSHP)  |
| <input type="checkbox"/> Water to Water Heat Pump (WWHP)         | <input type="checkbox"/> Variable Refrigerant Flow (VRF) |
| <input type="checkbox"/> Custom Heat Pump Technology Application |  |

## Existing Buildings

|  |  |
|--|--|
| <input type="checkbox"/> Comprehensive Upgrade (≥90% of Building Heating Load) | <input type="checkbox"/> Phased Upgrade / Retrofit (<90% of Building Heating Load) |
|--|--|

|                              |                                      |                                       |                                  |                                   |
|------------------------------|--------------------------------------|---------------------------------------|----------------------------------|-----------------------------------|
| Existing Heating System Fuel | <input type="checkbox"/> Natural Gas | <input type="checkbox"/> Oil          | <input type="checkbox"/> Propane | <input type="checkbox"/> Electric |
|                              | <input type="checkbox"/> Wood        | <input type="checkbox"/> Wood Pallets | <input type="checkbox"/> Other   |                                   |

## Building Heating Load Electrification

|  |   |
|--|---|
| Specify percentage of Building Heating Load impacted by this project:  | _____ % of Building Heating Load impacted   |
| Specify percentage of impacted Building Heating Load satisfied by new heat pump installation:                              | _____ % of Building Heating Load satisfied  |
| Does this project qualify for Early Replacement or Extended Life? <input type="checkbox"/> Yes <input type="checkbox"/> No | Are you completing this project because of National Grid incentives? <input type="checkbox"/> Yes <input type="checkbox"/> No |

## Application Requirements

All of the following supporting documents MUST be submitted for consideration in the NYS Clean Heat Program:

- W-9 of the Account Holder (Customer) and Incentive Recipient
- Customer Proposal/Statement of Work
- Cost Estimate for Proposed Work
- Energy Savings Analysis, including the building heating load and the heating load served by proposed equipment
- Equipment Cutsheets

Optional:

- Other Measure-Specific Documents

## Sizing Statement: Check to acknowledge agreement

Heat pumps have been sized based on heating and cooling loads that have been calculated in accordance with ANSI23/ASHRAE24/ACCA Standard 183-2007 (RA2017), or other equivalent code-approved computational procedure.

## Estimated Installation/Completion Date

|                          |  |
|--------------------------|--|
| Installation Start Date: | Anticipated Date of Completion Paperwork Submission: |
|--------------------------|--|

## Estimated Savings (Annual)

|              |  |                        |                                   |
|--------------|--|------------------------|-----------------------------------|
| kWh savings: | kWh increase (negative value if increasing usage as a result of new heat pump system): | Fuel Savings (therms): | Net Savings MMBtu (kWh + Therms): |
|--------------|--|------------------------|-----------------------------------|

# NYS Clean Heat Program Application

## Terms and Conditions

**Program** – Subject to these terms and conditions (“Terms and Conditions”) and other requirements provided by National Grid, including, without limitation, Program guidelines and requirements, the application to participate in the Program (“Application”) and other materials provided regarding the Program (collectively, the “Program Materials”), under New York State Clean Heat Statewide Heat Pump Program (“Program”) National Grid offers Incentives to directly metered electric nonresidential customers in good standing.

**Incentives** – Incentives are those payments made by National Grid to Eligible Customer pursuant to the Program and these Terms and Conditions and are available to property owner/lease holder (tenant)/ property manager for the purchase and installation of eligible heat pump equipment qualified for incentive (“Qualifying Equipment”) at the Facility where the Qualifying Equipment is to be installed.

**Customer Eligibility** – National Grid’s Program offers Incentives to nonresidential electric customers with an active utility account or have proof of ownership of a property served by National Grid (“Eligible Customer”). Each Eligible Customer must provide a valid utility account number and must also intend to install Qualifying Equipment (as defined below) in a facility of the Eligible Customer located in Upstate New York served by the National Grid (“Facility”).

**No Double Dipping** – National Grid is not offering Incentives, and Eligible Customers may not apply for or receive multiple Incentives, for the same Qualifying Equipment from another gas or electric utility or the New York State Energy Research and Development Authority (“NYSERDA”). Accordingly, Customer represents and warrants National Grid that it has not received and will not receive any financial incentive or rebate from any other person or entity with respect to the Qualifying Equipment identified in this Application and in any offer letter issued by National Grid stating National Grid’s approval of Eligible Customer’s application, the approved Incentives, required date of project completion, any changes to Eligible Customer’s Application and any other requirements of National Grid related to the Incentives (“Offer Letter”).

**Qualifying Projects** – Qualifying Projects are projects eligible for Incentives under the Program that include heat pump measures identified as eligible under the Program and site-specific heat pump measures (referred to hereunder as Qualifying Equipment”) approved by National Grid (“Qualifying Projects”). Qualifying Projects do not include any heat pump measures or heat pump equipment or services purchased, contracted for or installed prior to the date of National Grid’s Offer Letter. There will be no substitute for Qualifying Equipment unless the substitute is approved by National Grid in advance of installation of such Qualifying Equipment.

**Participating Contractor** – In order to participate in this Program and install Qualifying Equipment on behalf of Eligible Customers, a contractor must submit a participating contractor application and a National Grid contractor participation agreement to become eligible to apply for incentives in the Program (“Participating Contractor”). All Qualifying Equipment must be in conformance with federal, state, and local code requirements and installed by a Participating Contractor. Notwithstanding any language to the contrary in these Terms and Conditions or any Program Materials, the Eligible Customer understands and agrees that the relationship between National Grid and the Participating Contractor is that of independent contractors. The Eligible Customer further acknowledges and agrees that (1) the Participating Contractor is chosen exclusively by the Eligible Customer and not National Grid; and (2) the Participating Contractor is not acting as an agent or contractor of National Grid.

**Financial Incentives** – The basis for determining the amount of the financial incentive for which Qualifying Projects are eligible is set forth in the Program Manual. National Grid’s determination of Incentive amounts is final.

**Application and Worksheets** – Eligible Customers must work with Participating Contractors to submit completed applications and other required documentation as described elsewhere in this application for all proposed qualifying projects.

**Application Review and Inspection** – National Grid will review all applications and accompanying documentation for eligibility, completeness, and accuracy. As part of this review, National Grid may conduct an on-site inspection of a facility’s existing equipment and systems. After completing review of the application and determination of eligibility, the National Grid Program Administrator will send an Offer Letter to each Eligible Customer accepted for the program, specifying the estimated incentive amount. If any proposed project does not meet program requirements, National Grid will notify the Eligible Customer that the Eligible Customer’s Application has been rejected. Eligible Customer understands that the estimated incentive amount identified in the Offer Letter is only an estimate and may not reflect the actual amount payable to Eligible Customer upon completion of a Qualifying Project.

**Installation Schedule Requirements** – If the Eligible Customer does not complete installation of approved project by the completion date specified in the Offer Letter, National Grid may, in its sole discretion, deny payment of Incentives and/or rescind any incentive amount agreed in any Offer Letter.

**Final Inspection and Incentive Payment** – When installation is completed, the Eligible Customer must notify National Grid in writing and submit invoices specifying the quantity and cost of all materials purchased and installed, the date ordered, the date purchased, the date delivered, installation costs, and applicable taxes. National Grid, in its sole discretion, may schedule and conduct a post-installation inspection. The Eligible Customer must provide access to National Grid or its authorized designee for such inspection. The actual Incentive amount will be determined based upon the inspection and may vary from the original estimates. National Grid will issue Incentive approximately six weeks after receipt of all proper invoices and verification of all installations. Checks will be payable directly to the Eligible Customer except as otherwise authorized by the Eligible Customer in the Payee Authorization section of this Application.

**Confidentiality** – The Eligible Customer hereby authorizes National Grid to release their energy use information to energy efficiency program administrators and/or designees, including the New York State Energy Research & Development Authority “NYSERDA”, understands that such information will be kept confidential and used only for the purposes of Program evaluation, determining Program eligibility and energy savings during the duration of 1/1/2020-12/31/2025. The Eligible Customer agrees that National Grid may provide Eligible Customer information including name, address, account number, energy consumption data and energy savings to a third-party contractor for evaluation purposes provided that National Grid has a non-disclosure agreement with the third-party contractor to keep Eligible Customer information confidential. Eligible Customer understands and agrees that Customer’s information may also be provided to federal and state governmental and regulatory agencies and authorities.

**Tax Liability** – National Grid is not responsible for any taxes which may be imposed on the Eligible Customer or business as a result of projects installed under this program. The recipient of the Incentive should consult a tax advisor about any tax consequences resulting from participation in the Program. The recipient of the Incentive must provide a valid federal tax ID number and a W-9 form.

**Removal of Equipment** – The Eligible Customer agrees that as a condition of participation in the Program the Eligible Customer shall remove and dispose at its sole cost and expense any and all Qualifying Equipment or other materials that are replaced or removed in accordance with all applicable laws, rules, and regulations.

**Disputes** – National Grid will have sole discretion to decide on the final resolution of any Program issues relating to the payment of Incentives, including but not limited to, energy savings, projects, or eligibility.

**Program Changes** – National Grid reserve the right to change, modify, or terminate this Program at any time without any liability except as expressly stated herein. National Grid will honor all written commitments made to the Eligible Customer prior to the date of any change, modification or termination of this Program, provided that the installation of the Qualifying Equipment is completed prior to the date of such change, modification or termination. Correction requests to Incentive applications that have already been processed and paid must be made within thirty (30) days of the date the Incentive was mailed. Correction requests received after thirty (30) days from the mail date of the Incentive will not be honored.

**Installation Requirements** – Eligible Customer assumes sole responsibility for installation work. Eligible Customer acknowledges and agrees that all work must be in full compliance with the requirements of applicable laws, rules, and regulations of authorities having governmental and regulatory jurisdiction. Accordingly, National Grid and its representatives recommend that all Customers engage Qualified Contractors, engineers and/or other qualified consultants to evaluate the risks and benefits, if any, of such measure or equipment and its implementation and use related to energy consumption, cost savings, or operation of Customers’ facilities.

# NYS Clean Heat Program Application

**Disclaimer** – Eligible Customer acknowledges and agrees that National Grid shall not be liable to customer or any other person or entity in connection with any Qualifying Project undertaken by Eligible Customer, including in connection with National Grid’s review or approval of this Application and/or worksheets. National Grid makes no representation or warranty, and undertakes no responsibility whatsoever concerning the adequacy of any project design or plan, any construction or installation work, the completion of any project or the performance of any work by any Qualifying Contractor, including without limitation installation of the Qualifying Equipment. To the fullest extent permitted by law, Eligible Customer, on behalf of itself and any other person or entity claiming by and through Eligible Customer, hereby irrevocable and unconditionally releases and forever discharges, and agrees to defend, indemnify, and hold harmless National Grid and its affiliates, and their respective past, present, and future officers, directors, trustees, stockholders, employees, agents, representatives, successors and assigns, from any and all claims, charges, complaints, causes of action, damages, losses, agreements and liabilities of any kind or nature, including without limitation, claims for personal injury, death or property damage or related to energy costs incurred by Eligible Customer, including, without limitation, attorneys’ fees, court costs, and costs of experts arising from the Eligible Customer’s participation in the Program, the project design or plan and the installation of the Qualifying Equipment.

**Misc.** – (a) These Terms and Conditions and the Program Materials together make up the entire Agreement between the parties and supersedes all other communications and representations. In the event of a conflict between these Terms and Conditions and any Program Materials, these Terms and Conditions shall govern. (b) The Eligible Customer acknowledges that the Participating Contractors performing services related to the Program are not authorized to make any agreement or representation on behalf of National Grid, and that National Grid will be bound only by an authorized representative of a Company on only that Company’s behalf. (c) There shall be no amendment or modification to these Terms and Conditions or any Program Materials unless such is made by National Grid. (d) Except to the extent expressly stated in these Terms and Conditions, no rights are granted to any third parties. (e) These Terms and Conditions are governed by the laws of the State of New York.

## Attestation

I hereby request an Incentive for the listed work. Attached are copies of all receipts. I certify that all information above is correct to the best of my knowledge and that I have read and agree to all Terms and Conditions of this Incentive. I certify that a Participating Contractor has installed the listed heat pump equipment in accordance with Program Guidelines and Terms and Conditions as described on this form. This Incentive is for the benefit of New York nonresidential electric customers of National Grid. Eligible Customers may not apply for or receive multiple incentives for the same measure from another gas or electric utility or NYSEERDA. Program offerings are subject to change without notice. I acknowledge that National Grid, its company partners, New York regulatory agencies and authorities will use this information and my attestation to determine whether an Incentive will be issued. I understand that any omissions, misrepresentations or inaccuracies on this application may be a basis for an Incentive denial, and I assume full liability for any misrepresentations I make regarding this application.

|  |                       |       |
|--|-----------------------|-------|
| Customer or Authorized Representative Name (please print): | Title (please print): | Date: |
| Authorized Signature:                                      | Company Name:         |       |