

Lighting Systems & Sensors Application

The Retrofit Program is designed for commercial and industrial customers to help replace aging, inefficient equipment and systems with energy efficient technologies. The Retrofit Program provides a customer with incentives that will facilitate the installation of premium efficiency lighting.

Application Instructions

For Replacement of Operating Equipment

1. Is your project eligible?

Equipment shall be new and shall be installed in a commercial, industrial, institutional, educational, or municipal building within National Grid's electric service territory.

2. Is the equipment you intend to buy eligible?

Product types listed in this form are eligible for prescriptive incentives. However, other measures not listed here may be eligible for custom incentives using the Custom Retrofit Application.

3. Pre-Approval requirements:

- Contact your National Grid representative before purchasing and installing the equipment.
- To see if the energy efficient measure (EEM) qualifies for an incentive:
 - i. Review the Terms and Conditions governing the program, then submit a completed application form with an authorized signature.
 - ii. Submit a copy of the Manufacturer's technical specification sheets ("cut sheets") for each type of eligible equipment to be purchased.
 - iii. Once pre-approved, a "pre-approved incentive letter" will be issued.

4. Installation and incentive requirements:

- Once pre-approved, purchase and install the qualifying equipment within twelve (12) months of National Grid's pre-approval.
- Return the required information to your National Grid representative within 30 days of the installation:
 - i. A copy of the completed and signed pre-approval application.
 - ii. If there is a change in equipment, please submit a new manufacturer's technical specification sheets ("cut sheets") for each type of eligible equipment purchased.
 - iii. A copy of your invoice indicating Proof of Purchase must indicate type, size, make, and model number of the equipment and date of purchase and installation.
 - iv. At the post-installation verification, the customer is required to sign the post-installation customer acknowledgment section of the original application.

Program Details:

This incentive program covers applications created on or after January 1, 2022. Details of this Program, including incentive levels, are subject to change without prior notice. Contact National Grid for the latest program details.

Once completed, send this Application Form to National Grid serving the specific customer account where the selected efficiency measure(s) will be installed.

ALL FIELDS ON THIS PAGE ARE REQUIRED TO COMPLETE YOUR APPLICATION.

CUSTOMER/ACCOUNT HOLDER INFORMATION

COMPANY NAME	CONTACT PERSON	APPLICATION DATE	
INSTALL SITE	PHONE	FAX	
EMAIL ADDRESS		SQUARE FEET (Covered by this application)	
STREET ADDRESS	CITY	STATE	ZIP
MAILING ADDRESS (If different)	CITY	STATE	ZIP
ELECTRIC COMPANY NAME	ELECTRIC ACCOUNT NUMBER (or copy of electric bill)		
GAS COMPANY NAME	GAS ACCOUNT NUMBER (or copy of gas bill)		

Building Type (Please place "x" in appropriate ballot box)

- | | | | | |
|--|--|---|--|---------------------------------------|
| <input type="checkbox"/> ASSEMBLY | <input type="checkbox"/> FAST FOOD | <input type="checkbox"/> HOTEL | <input type="checkbox"/> MULTI STORY RETAIL | <input type="checkbox"/> K-12 SCHOOL |
| <input type="checkbox"/> AUTOMOTIVE | <input type="checkbox"/> FULL SERVICE RESTAURANT | <input type="checkbox"/> LARGE REFRIGERATED SPACE | <input type="checkbox"/> MULTIFAMILY HIGH-RISE | <input type="checkbox"/> SMALL OFFICE |
| <input type="checkbox"/> BIG BOX | <input type="checkbox"/> GROCERY | <input type="checkbox"/> LARGE OFFICE | <input type="checkbox"/> MULTIFAMILY LOW-RISE | <input type="checkbox"/> SMALL RETAIL |
| <input type="checkbox"/> COMMUNITY COLLEGE | <input type="checkbox"/> HEAVY INDUSTRIAL | <input type="checkbox"/> LIGHT INDUSTRIAL | <input type="checkbox"/> OTHER | <input type="checkbox"/> UNIVERSITY |
| <input type="checkbox"/> DORMITORY | <input type="checkbox"/> HOSPITAL | <input type="checkbox"/> MOTEL | <input type="checkbox"/> RELIGIOUS | <input type="checkbox"/> WAREHOUSE |

Project Type (Select one)

- | | | | |
|--|---|---|---|
| <input type="checkbox"/> CHANGE IN THE USE OR FUNCTION OF THE BUILDING SPACE | <input type="checkbox"/> NEW BUILDING | <input type="checkbox"/> EXPANSION OF AN EXISTING BUILDING | <input type="checkbox"/> PLANNED REPLACEMENT OF EQUIPMENT |
| <input type="checkbox"/> NEW EQUIPMENT FOR NEW PROCESS OR EXPANDED OPERATION | <input type="checkbox"/> RENOVATION OF EXISTING EQUIPMENT | <input type="checkbox"/> NEW CONTROLS FOR IMPROVED OPERATIONS | <input type="checkbox"/> REPLACEMENT OF FAILED EQUIPMENT |

PAYMENT METHOD (PAYEE MUST SUBMIT A W-9 FORM)

PAYMENT TO: <input type="checkbox"/> CUSTOMER <input type="checkbox"/> VENDOR/INSTALLER	CUSTOMER TAX ID# (Required)	VENDOR/INSTALLER TAX ID# (Required if receiving incentive)
CHECK PAYABLE TO:	COMPANY TYPE: <input type="checkbox"/> INCORPORATED <input type="checkbox"/> NOT INCORPORATED <input type="checkbox"/> EXEMPT	VENDOR COMPANY TYPE: <input type="checkbox"/> INCORPORATED <input type="checkbox"/> NOT INCORPORATED <input type="checkbox"/> EXEMPT

VENDOR INFORMATION

AUTHORIZED VENDOR/INSTALLER	CONTACT NAME		
STREET ADDRESS	CITY	STATE	ZIP
PHONE	EMAIL ADDRESS		
DATE	VENDOR/INSTALLER AUTHORIZED SIGNATURE X (Not applicable if customer is payee.)		

CUSTOMER ACCEPTANCE OF TERMS

PRE-INSTALLATION <input type="checkbox"/> I CERTIFY THAT ALL STATEMENTS MADE IN THIS APPLICATION ARE CORRECT TO THE BEST OF MY KNOWLEDGE AND THAT I HAVE READ AND AGREE TO THE TERMS AND CONDITIONS ON THE BACK OF THIS FORM.		ANTICIPATED COMPLETION DATE
DATE	PRINT NAME	AUTHORIZED SIGNATURE X
POST-INSTALLATION <input type="checkbox"/> I CERTIFY THAT I HAVE SEEN THE ENERGY EFFICIENCY MEASURES THAT HAVE BEEN INSTALLED AND I AM SATISFIED WITH THEIR INSTALLATION.		
DATE	PRINT NAME	AUTHORIZED SIGNATURE X

FOR PROGRAM ADMINISTRATOR ONLY

REQUIRED INSPECTIONS	DATE	INSPECTOR	PROJECT COSTS:	
PRE-INSPECTION:				
POST-INSPECTION:				
APPROVAL	DATE	PROGRAM MANAGER	LABOR \$:	
PRE-APPROVED INCENTIVE:			MATERIAL \$:	
FINAL INCENTIVE:				

ELIGIBILITY REQUIREMENTS AND INCENTIVE DETAILS

Facility lighting must average a minimum of 2,000 hours per year.

- Equipment that has received an incentive at the distributor level through the Commercial & Industrial Upstream Lighting Program or through any other offering of National Grid is not eligible for the incentives on this application.
- All LED fixtures must meet Design Lights Consortium (DLC) or Energy Star technical requirements as indicated per Product code. For information on technical requirements visit designlights.org or [Energystar.gov](http://energystar.gov)

Fixture Types that are not defined by the categories below or not included in the current Design Lights Consortium — Technical Requirements Table V5.0 or current DLC Standard at time of application, may be eligible for incentives under a Custom application. Contact your Program Administrator for more details.







National Grid does not warrant or accept any liability whatsoever for LED 4ft or 2ft Linear Retrofit Kits that receive incentives through our energy efficiency programs. Customers are responsible for the proper modification and installation of LED 4ft and 2ft Linear Retrofit Kits in existing fixtures in accordance with manufacturer's instructions. Customers should be aware that fixture modifications may void fixture warranty. Customers are responsible for proper labeling of all modified existing fixtures.

It is the responsibility of the lighting installers to meet current Illuminating Engineering Society standards for light levels, light distribution, uniformity and lighting quality for all installations that use these prescriptive technologies.

Lighting Systems Eligibility and Incentive Levels (Complete Retrofit Lighting Systems Inventory Worksheet)

Product Code	Product Description	Per Fixture/ Lamp Incentive	Eligibility Criteria	Min Watts Saved	Image
Linear Lamp/Ballast Replacement Options					
81AT8	T8 Linear Replacement Lamps: 2', 3', 4' & 8' T8 UL Type A, B, or AB Lamps	\$5	DLC Technical Requirements for Linear Replacement Lamps	10	
81AT5	T5 Linear Replacement Lamps: 4' T5 & 4' T5HO UL Type A, B, or AB Lamps	\$10			
81ATB	U-Bend Linear Replacement Lamps: UL Type A, B, or AB Lamps	\$10			
81	T8 LED Linear Retrofit Tube Kits: UL Type C	\$8 (per lamp incentive within a qualifying kit)	T8 LED Linear Retrofit Tube Kits and Driver. Eligible Retrofit LED Tube Kits are required to be listed by the Design Lights Consortium and must meet DLC Technical Requirements.	10	
81D	T5 LED Linear & U-Bend Retrofit Tube Kits: UL Type C	\$18 (per lamp incentive within a qualifying kit)	T5 LED Linear & U-Bend Retrofit Tube Kits and Driver. Eligible Retrofit LED Tube Kits are required to be listed by the Design Lights Consortium and must meet DLC Technical Requirements.	20	






Lighting Systems Eligibility and Incentive Levels (Complete Retrofit Lighting Systems Inventory Worksheet)

Product Code	Product Description	Per Fixture/ Lamp Incentive	Eligibility Criteria	Min Watts Saved	Image
Screw & Plug Base Lamps					
81A60	A-Line, 40/60W Equivalent	\$4	ENERGY STAR® qualified or DLC equivalent	10	   
81A75	A-Line, 75/100W Equivalent	\$5			
81A16	PAR16 or MR16 (pin or GU10 base type)	\$6			
81A20	PAR20/R20	\$8			
81A30	PAR30 or BR30 or R30	\$10			
81A38	PAR38 or BR40 or R40	\$12			
81G23	G23 and 2G11 Base	\$10			
81G24	G24 Base	\$12			
81AD	Decoratives (Globe, Candle, B-Shapes)	\$6			
80A	Down Light Kit/Fixture – Hard Wired, Screw-base or GU-24 base (250-3,500 lumens)	\$20	Eligible LED Down Lights are required to be hardwired, GU-24, or screw base fixtures with 250-3,500 lumens and listed as a Commercial LED product by ENERGY STAR. (for more information see www.energystar.gov)	20	
81MI	Mogul Screw-Base (E39/E40) Replacements for HID Lamps: Indoor Low Bay	\$50	LED Mogul Screw-Base (E39/E40) Replacement for HID Lamps are required to be listed by the Design Lights Consortium and must meet DLC Technical Requirements	100	
81MHI	Mogul Screw-Base (E39/E40) Replacements for HID Lamps: Indoor High Bay	\$70	LED Mogul Screw-Base (E39/E40) Replacement for HID Lamps are required to be listed by the Design Lights Consortium and must meet DLC Technical Requirements	200	

Lighting Systems Eligibility and Incentive Levels (Complete Retrofit Lighting Systems Inventory Worksheet)

Product Code	Product Description	Per Fixture Incentive	Eligibility Criteria	Min Watts Saved	Image
Indoor Luminaires & Indoor Retrofit Kits					
88A	LED Indoor Retrofit Kits: 1x4, 2x2, and 2x4 Troffers	\$40	LED Indoor Retrofit Kits for 1x4, 2x2 and 2x4 Troffers – Eligible kits are required to be listed by the Design Lights Consortium and must meet DLC Technical Requirements for Indoor Retrofit Troffer Kits.	23	
88B	LED Indoor Troffers: 1x4, 2x2, and 2x4	\$60	LED Indoor 1x4, 2x2 and 2x4 Troffer Fixtures – Eligible fixtures are required to be listed by the Design Lights Consortium and must meet DLC Technical Requirements for Indoor Troffer Luminaires.	23	
89	LED Linear Ambient	\$40	LED Linear Ambient: Direct or with Indirect Component. Eligible luminaires or kits are required to be listed by the Design Lights Consortium and must meet DLC Technical Requirements for Indoor Luminaires or Indoor Retrofit Kits – Linear Ambient with greater than 375 lumens per foot.	23	
91	LED Interior Directional: Wall Wash, Track, or Mono-Point Directional Luminaires	\$40	LED Interior Directional – Wall Wash, Track, or Mono-Point Directional Luminaires either surface or recessed mounted. Eligible fixtures are required to be listed by the Design Lights Consortium and must meet DLC Technical Requirements for Indoor Interior Directional Luminaires.	23	
82A	LED Display Case: Retail, Cooler, Freezer Case or Refrigerated Shelving Fixtures	\$40	Eligible LED Display Case fixtures are required to be listed by the Design Lights Consortium and must meet DLC Technical Requirements for Indoor Luminaires – Case Lighting with greater than 50 lumens per foot.	20	
80A	Down Light Kits/Fixtures – Hard Wired, Screw-base or GU-24 base (250-3,500 lumens)	\$20	Eligible LED Down Lights are required to be hardwired, GU-24, or screw base fixtures with 250-3,500 lumens and listed as a Commercial LED product by ENERGY STAR. (for more information see www.energystar.gov)	20	
80B	Down Light Kits/Fixtures – Hard Wired, Screw-base or GU-24 base (>3,500-7,000 lumens)	\$50	Eligible LED Down Lights are required to be hardwired, GU-24, or screw base fixtures with greater than 3,500 to 7,000 lumens and listed as a Commercial LED product by ENERGY STAR. (for more information see www.energystar.gov)	40	
80C	Down Light Kits/Fixtures – Hard Wired, Screw-base or GU-24 (>7,000 lumens)	\$100	Eligible LED Down Lights are required to be hardwired, GU-24, or screw base fixtures with greater than 7,000 lumens and listed as a Commercial LED product by ENERGY STAR. (for more information see www.energystar.gov)	60	
86A	Low Bay: Mid Output (5,000-10,000 lumens)	\$100	LED Indoor Low Bay – Eligible fixtures and kits are required to be listed by the Design Lights Consortium and must meet DLC Technical Requirements for Indoor Luminaires or Indoor Retrofit Kits – Low Bay with 5,000-10,000 lumen minimum light output.	75	
86B	High Bay: High Output (>10,000-30,000 lumens)	\$150	LED Indoor High Bay – Eligible fixtures and kits are required to be listed by the Design Lights Consortium and must meet DLC Technical Requirements for Indoor Luminaires or Indoor Retrofit Kits – High Bay with greater than 10,000 lumen minimum light output.	100	
86C	High Bay: Very High Output (>30,000 lumens)	\$200	LED Indoor High Bay – Eligible fixtures and kits are required to be listed by the Design Lights Consortium and must meet DLC Technical Requirements for Indoor Luminaires or Indoor Retrofit Kits – High Bay with greater than 30,000 lumen minimum light output.	150	

Lighting Systems Eligibility and Incentive Levels (Complete Retrofit Lighting Systems Inventory Worksheet)

Product Code	Product Description	Per Fixture Incentive	Eligibility Criteria	Min Watts Saved	Image
Outdoor Luminaires & Outdoor Retrofit Kits					
85A	Low Output (250-5,000 lumens)	\$100	LED Outdoor Luminaires and Retrofit Kits – Eligible fixtures are required to be listed by the Design Lights Consortium and must meet DLC Technical Requirements for Low Output Luminaires or Kits.	50	
85B	Mid Output (>5,000-10,000 lumens)	\$150	LED Outdoor Luminaires and Retrofit Kits – Eligible fixtures are required to be listed by the Design Lights Consortium and must meet DLC Technical Requirements for Mid Output Luminaires or Kits.	100	
85C	High Output (>10,000-30,000 lumens)	\$200	LED Outdoor Luminaires and Retrofit Kits – Eligible fixtures are required to be listed by the Design Lights Consortium and must meet DLC Technical Requirements for High Output Luminaires or Kits.	150	
85D	Very High Output (>30,000 lumens)	\$250	LED Outdoor Luminaires and Retrofit Kits – Eligible fixtures are required to be listed by the Design Lights Consortium and must meet DLC Technical Requirements for Very High Output Luminaires or Kits.	200	
81MO	LED Mogul Screw-Base (E39/E40) Replacements for HID Lamps: Outdoor Low Output & Mid Output Lamps	\$60	LED Mogul Screw-Base (E39/E40) Replacement for HID Lamps are required to be listed by the Design Lights Consortium and must meet DLC Technical Requirements	100	
81MHO	LED Mogul Screw-Base (E39/E40) Replacements for HID Lamps: Outdoor High Output & Very High Output Lamps	\$80	LED Mogul Screw-Base (E39/E40) Replacement for HID Lamps are required to be listed by the Design Lights Consortium and must meet DLC Technical Requirements	200	

Retrofit Lighting Systems Inventory Worksheet

Building and Room Identification (Installation Site): _____

		Existing Fixtures (Retrofit only)				Proposed Fixtures						
	Location	QTY	Lamp/Ballast Description	Device Code*	Existing Watts per Fixture/ Device	Product Code	QTY	Manufacturer & Model #	Proposed Watts per Fixture/ Device	Annual Operating Hours**	Unit Incentive \$	Total Incentive \$
Ex.	Lobby-East Entrance	4	4' 3 lamp T12 Magnetic	3F40SEM	110 watts	88A	4	ABC Corp. - Model #123	35 watts	3,200 hours	\$50	\$200
1												
2												
3												
4												
5												
6												
7												
8												
9												
10												
Subtotal Requested Incentives this page												
Open Excel File to document additional inventory						Subtotal Requested Incentives from Excel File						
Total Requested Incentive												









NOTE: An electronic version (Excel) of this sheet must be submitted for inventories exceeding this page.

* The Device Code list is available within the additional tabs of the embedded Excel spreadsheet.

** Facility lighting must average a minimum of 2,000 hours per year, except Municipal Facilities who must contact their Program Administrator for more information on eligibility requirements.

As an alternative to the prescriptive incentives below, control strategies not listed here may be eligible for custom incentives using the Custom Retrofit Application which offers the potential to achieve additional energy savings.

Lighting Sensor Eligibility Criteria and Incentive Levels (Complete Retrofit Lighting Sensors Inventory Worksheet)

Product Code	Product Description	Per Sensor Incentive	Eligibility Criteria	Controlled Wattage	Image
Sensors & Control Systems					
61	Remote Mounted Occupancy Sensor	\$30	Comply with manufacturer's coverage recommendations. Ceiling mounted control. No manual "ON" overrides permitted.	40	
62	Daylight Dimming System and/or Occupancy Controlled Dimming System	\$15 (per fixture)	LED drivers must be automatically controlled based on occupancy or daylight levels.	20 (per fixture)	
63	Interior Integral Fixture Mounted Dual Sensors	\$30 (per fixture)	Integral fixture mounted dual sensors with motion and photocell/ambient light sensors. System to control motion response and illumination levels. Only one incentive per fixture.	20 (per fixture)	
63A	Integral Fixture Mounted Dual Sensors and Network-Capable Controls	\$40 (per fixture)	Integral, fixture-mounted, addressable sensors with motion and photocell/ambient light-sensing capabilities along with embedded programming that can be configured and networked. System to control motion response, illumination levels, and scheduling. Must document that the fixtures have been tuned and commissioned after installation. Only one incentive per fixture. See the Specification and QPL for specific requirements: www.designlights.org/lighting-controls/	50 (Total wattage of networked group)	
64	Wall Mounted Occupancy Sensors	\$20	Occupancy Sensors must operate as Automatic On and Off. Sensors are wall mounted devices only. Vacancy Sensors with Manual ON/OFF options are allowed, however, manual "ON" overrides are not permitted.	20	
65	Outdoor Sensor with Integral Dual Sensors (outdoor lighting systems on 24/7)	\$25	Integral fixture mounted dual sensors with motion and photocell/ambient light sensors. System to control motion response and illumination levels. Only one incentive per fixture. Photocell Sensor or Hard-Wired Astronomical Timer controlled for lighting systems that operate on 24 hours a day, 7 days a week (8,760 hours annually)	50	
65A	Outdoor Integral Fixture Mounted Programmable Controller	\$50 (per fixture)	Outdoor integral controller (may be NEMA mounted). Controller to be programmable and able to report, monitor, schedule, and control lamp/driver illumination levels. Communication capable between fixtures and centralized network. System would allow network communication to receive and transmit data for configuring groups, addressability, reporting and advanced scheduling. Only one incentive per networked fixture.	100 (Total wattage of networked group)	
68	Integral Occupancy Sensor for High Bay Fixtures	\$25 (per fixture)	Fluorescent ballasts or LED drivers must be automatically controlled based on occupancy. Systems with manual "ON" or override switches are not eligible. Occupancy sensors must be integral to (built into) or permanently attached to each fixture.	50 (per fixture)	

These programs are funded by the energy efficiency charge on all customers' utility bills, in accordance with Rhode Island law.

Lighting Sensors Inventory Worksheet

Building and Room Identification (Installation Site): _____

	Location of Sensors	Product Code	Manufacturer & Model #	QTY of Sensors	# of Fixtures Controlled by Sensors	Fixture Description	Total Wattage per Sensor	Annual Operating Hours	Unit Incentive \$	Total Incentive \$
Ex.	Lobby-East Entrance	61	ABC Corp. - Model #123	1	4	Four Foot T8 System	240	2500	\$30	\$30
1										
2										
3										
4										
5										
6										
7										
8										
9										
10										
Subtotal Requested Incentives this page										
Open Excel File to document additional inventory				Subtotal Requested Incentives from Excel File						
				Total Requested Incentive						

NOTE: An electronic version (Excel) of this sheet must be submitted for inventories exceeding this page.

TERMS AND CONDITIONS

1. Incentives

Subject to these Terms & Conditions, the Program Administrator will pay Incentives to Customer for the installation of EEMs.

2. Definitions

- a. "Customer" means the customer maintaining an account for service with the Program Administrator, and who satisfies the Program eligibility requirements established by the Program Administrator.
- b. "EEMs" are those energy efficiency measures described in the Program Materials or other Custom Measures that may be approved by the Program Administrator.
- c. "Facility" means the Customer location served by the Program Administrator where EEMs are to be installed.
- d. "Incentives" means those payments made by the Program Administrator to Customers pursuant to the Program and these Terms and Conditions.
- e. "National Grid" means [The Narragansett Electric Company d/b/a National Grid, as applicable].
- f. "Program" means the energy efficiency program offered by the Program Administrator to Customers.
- g. "Program Administrator" means National Grid.
- h. "Program Materials" means the documents and information provided by the Program Administrator specifying the qualifying EEMs, technology requirements, costs and other Program requirements, which include, without limitation, program guidelines and requirements, application forms and approval letters.

3. Application Process and Requirement For Program Administrator Approval

- a. The Customer shall submit a completed application in the form specified by the Program Administrator. Submission of a completed application does not alone entitle Customer to participation in the Program. Program Administrator reserves the right to reject any application, prior to pre-approval, for any reason whatsoever. In addition, at the Program Administrator's discretion, the Customer may be required to provide the Program Administrator with a copy of the detailed specifications and scope of work, as well as an analysis of the savings and/or demand reduction, for the EEMs proposed for approval. Customer will upon request by the Program Administrator provide a copy of the as-built drawings and equipment submittals for the Facility after EEMs are installed. This analysis shall be prepared by a Professional Engineer licensed in the state where the Facility is located to the extent required by the Program Administrator or by applicable law, regulation or code.
- b. The Program Administrator will review the Customer's application and supporting documentation to determine the energy savings and demand reduction potential. The Program Administrator reserves the right to reject or modify any calculations, based on the Program Administrator's own analysis.
- c. The Program Administrator is not obligated to pay any Incentives unless the authorized representative of the Program Administrator issues an approval letter regarding the EEMs proposed by the Customer, and any necessary pre- and post- installation verification activity is successfully completed by the Program Administrator. The Program Administrator's approval letter shall state the maximum approved Incentive amount and the date by which the EEMs must be fully installed and operational to qualify for Incentive payments. The Program Administrator may also require the Customer to execute additional agreements, or provide other documentation regarding the proposed EEM installation and Incentive payment(s).
- d. The Customer will have no right to receive, and the Program Administrator will have no obligation to pay, Incentives for any EEMs that have not been approved in writing in advance by the Program Administrator, unless the Program Materials state that such prior approval is not required. Further, the Program Administrator is not obligated to pay Incentives for projects which were pre-approved but are determined to not comply with Program requirements after installation is complete.
- e. The Program Administrator reserves the right to approve or disapprove of any application or proposed EEMs.
- f. The Program Administrator will only pay incentives for the specific EEMs listed on the front of the application. If the customer has received an Upstream incentive for a measure listed on this form, the customer is not eligible to receive an additional incentive for the same measure.

4. Pre- and Post-Installation Verification and Follow-Up Visits

- a. The Program Administrator is not obligated to pay any Incentives until the Program Administrator has performed a satisfactory pre-installation inspection (unless the Program Materials state such pre-inspection is not required) and post-installation verification of the installation. If the Program Administrator determines that any EEMs were not installed in accordance with these Terms and Conditions, the Program Materials and the Program Administrator's approval, the Program Administrator shall have the right to require modifications before having the obligation to make any Incentive payments. At its discretion the Program Administrator may also withhold payment of Incentives until it has been verified that the Customer has received, as appropriate, final drawings, operation and maintenance manuals, and operator training, and the Program Administrator has received documentation detailing the installation of the EEMs in accordance with these Terms and Conditions, the Program Materials and the Program Administrator's approval.
- b. Program Administrator reserves the right to make a reasonable number of follow-up visits to the Facility during the twenty-four months following the actual completion date noted on the customer report. Such visit(s) will occur at times mutually agreed upon by Program Administrator and Customer. The purpose of the follow-up visits is to provide the Program Administrator with an opportunity to review the operation of the EEMs and not to impact on the Incentives paid to the Customer.

5. Monitoring and Inspection

The Program Administrator reserves the right to perform monitoring and inspection of the EEMs for a three year period following completion of the installation in order to determine the actual demand reduction and energy savings. As a condition of receiving an Incentive, the Customer agrees to provide access and information to the Program Administrator and cooperate with the Program Administrator regarding such activity.

6. Site-Specific Custom Measures

The Program Administrator will only approve of those site-specific custom EEMs that the Program Administrator believes have cost-effective energy savings potential. In any case, the Program Administrator reserves the right to approve or disapprove of any such EEMs proposed by Customer.

7. Incentive Amounts

- a. The Program Administrator reserves the right to adjust and/or negotiate the Incentive amount, prior to pre-approval or as set forth in these Terms and Conditions and the Program Materials.
- b. Once an Incentive amount is pre-approved, the Program Administrator will pay no more than the cost to the Customer of purchasing and installing the EEM, or the pre-approved Incentive amount, whichever is less.
- c. The Program Administrator reserves the right to reduce or eliminate the Incentive amount if (1) the quantity and/or qualifying costs of EEMs actually installed differs from the pre-approved amounts, or (2) the EEMs were not installed in accordance with these Terms and Conditions, the Program Materials or the Program Administrator's approval, or which have not been properly maintained, have been altered or disconnected, or in the event of a shutdown or significant reduction of operations at the facility where the EEMs are located. In addition the Customer shall be obligated to refund such Incentive amounts paid by the Program Administrator where the projected energy savings have not been achieved as a result of the foregoing circumstances.

8. Equipment and Installation

Customer shall be responsible for ensuring that the EEMs are installed and operated in accordance with applicable laws, regulations and codes and that all applicable permits and inspections are obtained. Customer shall provide the Program Administrator with copies of all invoices and related documents (including all materials, labor, and equipment discounts) relating to the purchase and installation of the EEMs. The itemized invoices shall include detail of all EEMs including the model, quantity and cost for each EEM, and shall identify any applicable discounts or Incentives. The Customer shall provide detail on the installation location of the EEMs in the format specified by the Program Administrator, and such other documentation and information as the Program Administrator may request, including, without limitation, copies of permits and contractor and supplier invoices, orders and records. The Program Administrator reserves the right to determine in its reasonable discretion the appropriate costs of EEMs in order to calculate the Incentive amount. Program Administrator will recognize installation costs only to the extent that such costs are reasonable (as determined by Contractor Administrator) and actually incurred by the Customer.

9. Installation Schedule Requirements

If the Customer does not complete installation of the approved EEMs within the earlier of the completion date specified in the Program Administrator's approval letter or twelve (12) months from the date the Program Administrator issues pre-approval of the EEM project, the Program Administrator may terminate any obligation to make Incentive payments.

10. Incentive Payment Conditions

Provided that the Customer has satisfied its obligations, the Program Administrator shall use commercially reasonable efforts to pay each Incentive amount to the Customer within forty-five (45) days after all of the following conditions are met: (1) Program Administrator's approval of the EEM project has been provided; (2) all applicable permits, licenses and inspections have been obtained by the Customer; (3) installation of the EEMs has been completed in accordance with the requirements hereof; and (4) the Program Administrator has verified all product and installation costs and the satisfactory installation of the EEMs, all in accordance with the terms hereof. Customer shall not assign any of its rights or obligations referenced in these Terms and Conditions or in the Program Materials (including, without limitation, the right to receive Incentive payments) without first obtaining the written consent of the Program Administrator.

11. Contractor Shared Savings Arrangements

If EEMs are being installed by a contractor under a shared savings arrangement, the Program Administrator reserves the right to determine the cost of purchasing and installing the EEMs.

TERMS AND CONDITIONS

12. Maintenance of EEMs

Customer acknowledges and agrees that Customer shall operate and maintain the EEMs in accordance with the manufacturer's recommendations and the terms hereof, and shall replace consumable parts and other components with comparable or superior efficient products at the Customer's expense. Customers who install energy-efficient lighting EEMs are expected to replace any of the energy-efficient lights that burn out with lights of similar or superior energy savings efficiency at the Customer's expense.

13. Program/Terms and Conditions Changes

Program expenditures, requirements and eligibility, and these Terms & Conditions, may be changed by the Program Administrator at any time without notice. The Program Administrator reserves the right, for any reason, to withhold approval of projects and any EEMs, and to cancel or alter the Program, at any time without notice. Approved applications will be processed under the Terms and Conditions in effect at the time of the pre-approval by the Program Administrator.

14. Customer Information on Participation

The Customer grants to National Grid the right to use and reference the Customer's participation in the Program and the energy savings relating to the Customer's participation in the Program for regulatory purposes. National Grid shall keep Customer's information in strict confidence, shall exercise reasonable care to maintain the confidentiality, and shall not divulge Customer's information to any third party without the prior written consent of the Customer, except to the extent expressly permitted by these Terms and Conditions.

15. Indemnification and Limitation of the Program Administrator's Liability

Customer shall indemnify, defend and hold harmless Program Administrator, its affiliates and their respective contractors, officers, directors, employees, agents, and representatives from and against any and all claims, damages, losses and expenses, including reasonable attorneys' fees and costs incurred to enforce this indemnity, arising out of, resulting from, or related to the Program or the performance of any services or other work in connection with the Program ("Damages"), caused or alleged to be caused in whole or in part by any actual or alleged act or omission of the Customer, any subcontractor, agent, or third party, or anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable.

To the fullest extent allowed by law, the Program Administrator's aggregate liability, regardless of the number of claims, shall be limited to paying approved Incentives in accordance with these Terms and Conditions and the Program Materials, and the Program Administrator and its affiliates and their respective contractors, officers, directors, employees, agents, representatives shall not be liable to the Customer or any other party for any other obligation. To the fullest extent allowed by law and as part of the consideration for participation in the Program, the Customer waives and releases the Program Administrator and its affiliates from all obligations (other than payment of an Incentive), and for any liability or claim associated with the EEMs, the performance of the EEMs, the Program, or these Terms and Conditions.

16. No Warranties or Representations by the Program Administrator

- a. THE PROGRAM ADMINISTRATOR DOES NOT ENDORSE, GUARANTEE, OR WARRANT ANY CONTRACTOR, MANUFACTURER OR PRODUCT, AND THE PROGRAM ADMINISTRATOR MAKES NO WARRANTIES OR GUARANTEES IN CONNECTION WITH ANY PROJECT, OR ANY SERVICES PERFORMED IN CONNECTION HERewith OR THEREWITH, WHETHER STATUTORY, ORAL, WRITTEN, EXPRESS, OR IMPLIED, INCLUDING, WITHOUT LIMITATION, WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE. THIS DISCLAIMER SHALL SURVIVE ANY CANCELLATION, COMPLETION, TERMINATION OR EXPIRATION OF THE CUSTOMER'S PARTICIPATION IN THE PROGRAM. CUSTOMER ACKNOWLEDGES AND AGREES THAT ANY WARRANTIES PROVIDED BY ORIGINAL MANUFACTURERS', LICENSORS', OR PROVIDERS' OF MATERIAL, EQUIPMENT, OR OTHER ITEMS PROVIDED OR USED IN CONNECTION WITH THE PROGRAM UNDER THESE TERMS AND CONDITIONS, INCLUDING ITEMS INCORPORATED IN THE PROGRAM, ("THIRD PARTY WARRANTIES") ARE NOT TO BE CONSIDERED WARRANTIES OF THE PROGRAM ADMINISTRATOR AND THE PROGRAM ADMINISTRATOR MAKES NO REPRESENTATIONS, GUARANTEES, OR WARRANTIES AS TO THE APPLICABILITY OR ENFORCEABILITY OF ANY SUCH THIRD PARTY WARRANTIES. THE TERMS OF THIS SECTION SHALL GOVERN OVER ANY CONTRARY VERBAL STATEMENTS OR LANGUAGE APPEARING IN ANY PROGRAM MATERIALS OR OTHER DOCUMENTS PROVIDED BY PROGRAM ADMINISTRATOR.
- b. Neither the Program Administrator nor any of its employees or contractors is responsible for determining that the design, engineering or installation of the EEMs is proper or complies with any particular laws, codes, or industry standards. The Program Administrator does not make any representations of any kind regarding the benefits or energy savings to be achieved by the EEMs or the adequacy or safety of the EEMs.
- c. Customer acknowledges and agrees that it is solely responsible (directly-based on its own judgment or indirectly-based on the advice of an independent expert (not the Program Administrator)) for all aspects of the EEMs and related work including, but not limited to: selecting the equipment; selecting contractors to perform the work; inspecting the work and the equipment; ensuring that the equipment is in good working order and condition; ensuring that the equipment is of the manufacture, design specifications, size and capacity selected by the Customer and that the same is properly installed and suitable for Customer's purposes; and determining if work was properly performed.
- d. Customer agrees and acknowledges that Program Administrator is not a manufacturer of, or regularly engaged in the sale or distribution of, or an expert with regard to, any equipment or work.
- e. By participating in the Program, the Customer acknowledges and agrees that no activity by the Program Administrator includes any kind of safety, code or other compliance review.
- f. The provisions of this Section 16 shall survive the termination, cancellation or completion of the Customer's participation in the Program.

17. Equipment, Contractor Selection and Contracting

Customer is responsible for selecting and purchasing the EEMs and selecting and contracting with the design and installation contractor(s). The Customer shall be responsible for enforcing all such contracts and for assuring that the EEMs meet Program requirements and applicable laws, regulations and codes, and that the contractor(s) are properly qualified, licensed and insured. Notwithstanding the foregoing, the Customer acknowledges that the Program Administrator reserves the right to deny a vendor or contractor to participate in this Program or provide equipment or services. The Program Administrator also has the right to exclude certain equipment from the Program.

18. Removal of Equipment

The Customer agrees, as a condition of participation in the Program to properly remove and dispose of or recycle the equipment, lamps and components in accordance with all applicable laws, regulations and codes. The Customer agrees not to re-install any of removed equipment in the state of Rhode Island or the service territory of any affiliate of the Program Administrator, and assumes all risk and liability associated with the reuse and disposal thereof.

19. Energy Benefits

Other than the energy cost savings realized by Customer, the Program Administrator is entitled to 100% of the benefits and rights associated with the EEMs, including without limitation ISO-NE products and all other attributes, credits or products associated therewith under any regional initiative or federal, state or local law, program or regulation or program, and Customer waives, and agrees not to seek, any right to the same.

20. Customer Must Declare and Pay All Taxes

The benefits conferred upon the Customer through participation in this Program may be taxable by the federal, state, and local government. The Customer is responsible for declaring and paying all such taxes. The Program Administrator is not responsible for the payment of any such taxes.

21. Counterpart Execution; Scanned Copy

Any and all agreements and documents requiring signature related hereto may be executed in several counterparts, each of which, when executed, shall be deemed to be an original, but all of which together shall constitute one and the same instrument. A scanned or electronically reproduced copy or image of such agreements and documents bearing the signatures of the parties shall be deemed an original and may be introduced or submitted in any action or proceeding as competent evidence of the execution, terms and existence of such agreements and documents notwithstanding the failure or inability to produce or tender an original, executed counterpart of the same and without the requirement that the unavailability of such original, executed counterpart of the same first be proven.

22. Miscellaneous

- a. Paragraph headings are for the convenience of the parties only and are not to be construed as part of these Terms and Conditions.
- b. If any provision of these Terms and Conditions is deemed invalid by any court or administrative body having jurisdiction, such ruling shall not invalidate any other provision, and the remaining provisions shall remain in full force and effect in accordance with their terms.
- c. These Terms and Conditions shall be interpreted and enforced according to the laws of the state of Rhode Island.
- d. In the event of any conflict or inconsistency between these Terms and Conditions and any Program Materials, these Terms and Conditions shall be controlling.
- e. Except as expressly provided herein, there shall be no modification or amendment to these Terms and Conditions or the Program Materials unless such modification or amendment is in writing and signed by a duly authorized officer of the Program Administrator.
- f. The provisions of Sections 5, 7, 8, 9, 11, 13, 15, 16, 18, 19, 20, and 21 (including any other sections herein that specifies by its terms that it survives termination) shall survive the termination or expiration of the Customer's participation in the Program.